

# Curved space, monsters and black hole entropy

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# Black hole entropy

A mystery of modern physics:  $S_{\text{BH}} = A/4$

Entropy given by area in Planck units. One bit per Planck area.

Bekenstein-Hawking:  $T \sim R^{-1}$  ,  $R \sim M$

$$S_{\text{BH}} \sim \int \frac{dQ}{T} \sim \int R dM \sim R^2$$

# The meaning of entropy

Entropy = log of number of microstates consistent with some macro condition.

$$S \sim \ln \{\text{\# of microstates } N\}$$

Typically, entropy is *extensive*:

$$\ln(c^V) = V \ln c$$

The dimensionality of the Hilbert space describing a volume  $V$  is  $\dim \mathcal{H} = c^V$  ( $c = 2$  for qubit).  $S \sim$  number of d.o.f.

- coarse graining
- loss of information

## 'tHooft bound

Exclude states whose energies are so large that they would have already caused gravitational collapse:  $E < R$  (Hoop Conjecture)

Compute entropy; dominated by thermal configurations at large  $V$ :

$$S \sim T^3 R^3 \quad , \quad E \sim T^4 R^3$$

$E < R$  then implies

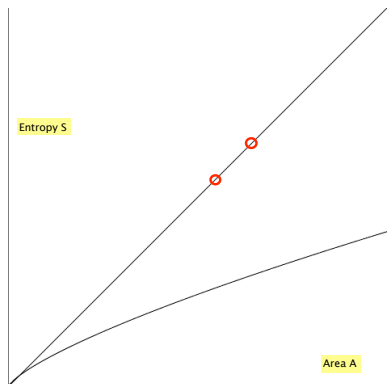
$$T \sim R^{-1/2} \quad \rightarrow \quad S < R^{3/2} \sim A^{3/4}$$

$$S < A^{3/4}$$

Note: Black hole *density* decreases with size. For any constant density  $E(R) > R$  for sufficiently large  $R$ !

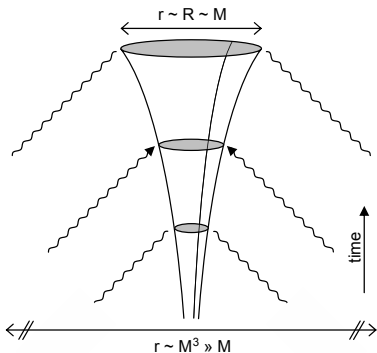
# 'tHooft bound

Ordinary matter satisfies  $S < A^{3/4}$ . What does this say about black holes, which have  $S \sim A$ ? **There is an entropy gap!**



The number of ways to form a given black hole from a compact region of ordinary matter is much smaller than the number of possible black holes of that mass.

# exp A distinct black holes?



There are  $\exp A$  distinct states of  
Hawking radiation:

$$S = \int dQ/T \sim \int dM M \sim A$$

A black hole which slowly eats  
**in-coming** radiation can saturate  
 $S \sim A$ .

## Negative binding energy

Consider  $N$  particles of mass  $m$ . In GR there is negative binding energy  $\equiv \Delta$ . The ADM mass can be less than  $Nm$ :

$$M = Nm - \Delta$$

In fact, one can achieve

$$\frac{M}{Nm} = \frac{Nm - \Delta}{Nm} \ll 1 .$$

This suggests that entropy to mass ratios can be very high. If the object becomes a black hole its area will scale as  $A \sim M^2$ .

Can the entropy equal or exceed  $A$ ?

# Curved space

Goal: Generalize 'tHooft analysis to curved space.

Construct configurations with **large proper volume** (fixed entropy density; large total entropy) but **small ADM mass**.

Configurations will be static (macroscopic moment of time symmetry; time reversal invariance) and satisfy Einstein constraints.

They comprise good initial data (matter + metric) for evolution using Einstein equations.

## Curved space

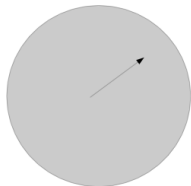
Consider spherically symmetric, but not necessarily static, distributions of matter

$$ds^2 = -g_{tt}(r, t)dt^2 + g_{rr}(r, t)dr^2 + r^2 d\Omega^2 . \quad (1)$$

Energy within radius  $r$ :

$$M(r) = 4\pi \int_0^r dr' r'^2 \rho(r') , \quad (2)$$

where  $\rho(r) = \rho(r, t_0)$  is the proper energy density (i.e., as seen by a stationary observer at  $r$ ) on the initial time slice  $t = t_0$ .



ADM mass:  $M \equiv M(R)$ , where  $R$  is radius of object.

## Curved space

Define

$$\epsilon(r) = 1 - \frac{2M(r)}{r} , \quad (3)$$

Then, assuming the matter to be initially at rest w.r.t. our  $(r, \theta, \phi)$  coordinates, the metric on that slice is fully determined by

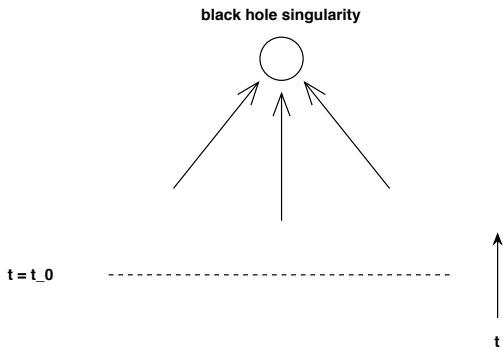
$$g_{rr}(r, t_0) = \epsilon(r)^{-1} . \quad (4)$$

Each choice of  $\rho(r)$  yields a good initial configuration.

# Collapse

Each configuration satisfies the Einstein constraints and, as we will see, collapses to form a black hole.

Each leads to a *distinct* black hole internal state; our goal is to count them.



## Curved space

Entropy: assume covariantly conserved entropy current

$j^\mu$  :  $j^\mu{}_{;\mu} = 0$ . Stokes theorem:

$$S_\Sigma = \int_\Sigma d^3x \sqrt{\gamma} s = \text{constant} , \quad (5)$$

where integral is over a constant time slice  $\Sigma$  with induced metric  $\gamma$  and unit normal  $n^\mu \sim (\partial_t)^\mu$ .

$s = -j^\mu n_\mu$  is the proper entropy density (as seen by a stationary inertial observer). In our coordinates,  $s(r) = j^0(r, t_0) g_{tt}(r, t_0)^{1/2}$ .

Total entropy on the initial time slice  $t_0$  is

$$S = 4\pi \int_0^R dr r^2 \epsilon(r)^{-1/2} s(r) . \quad (6)$$

## Curved space: key formulae

Total ADM mass (includes negative binding energy):

$$M \equiv M(R) = 4\pi \int_0^R dr' r'^2 \rho(r') ,$$

Total entropy: (  $\epsilon(r) = 1 - 2M(r)/r$  )

$$S = 4\pi \int_0^R dr r^2 \epsilon(r)^{-1/2} s(r) .$$

Both  $\rho(r)$  and  $s(r)$  are proper densities (as seen by stationary inertial observer).

E.g., **thermal photons**:  $\rho(r) \sim T(r)^4$ ,  $s(r) \sim T(r)^3$  or  $s(r) \sim \rho(r)^{3/4}$ .

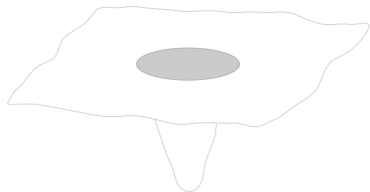
Maximize  $S$  while holding  $M$  fixed.

## Curved space: monster

The *proper* volume of our object is

$$V_p = 4\pi \int_0^R dr r^2 \epsilon(r)^{-1/2} . \quad (7)$$

Fixed ADM mass (surface area); potentially infinite proper volume and total entropy, keeping entropy and energy *densities* finite.

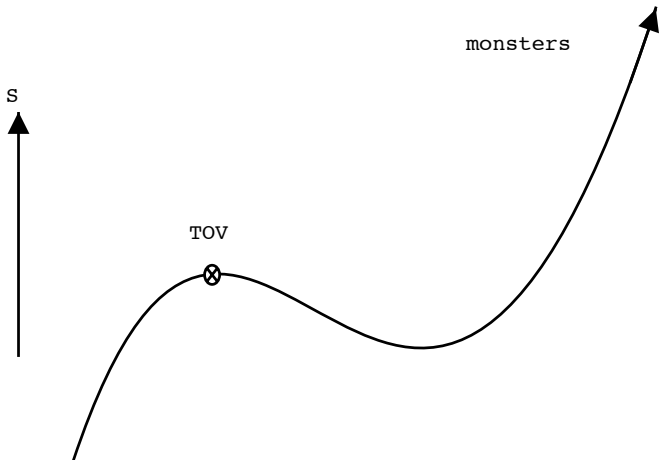


Trick: adjust  $\epsilon(r) = 1 - 2M(r)/r \approx 0$   
in some large region.

See also Sorkin, Wald and Zhang  
(1981)

# Entropy extrema

Hold ADM mass  $M$  fixed, extremize entropy  $S$ .



## Example monster: blob

Suppose: small core of radius  $r_0$ , mass  $M_0$  and density profile

$$\rho(r) = \rho_0 \left( \frac{r_0}{r} \right)^2 \quad (r_0 < r < R) . \quad (8)$$

Then

$$M(r) = M_0 + 4\pi\rho_0 r_0^2 (r - r_0) . \quad (9)$$

Let  $8\pi\rho_0 r_0^2 = 1$  so that

$$\epsilon(r) = \epsilon_0 \left( \frac{r_0}{r} \right) , \quad (10)$$

where  $\epsilon_0 = 1 - 2M_0/r_0$ .

## Example monster

Total entropy (neglecting the small core region  $r < r_0$ ):

$$S \sim 4\pi \int_{r_0}^R dr r^2 \left( \frac{r}{r_0 \epsilon_0} \right)^{1/2} \rho^{3/4} \sim \frac{\rho_0^{3/4} r_0}{\sqrt{\epsilon_0}} R^2 . \quad (11)$$

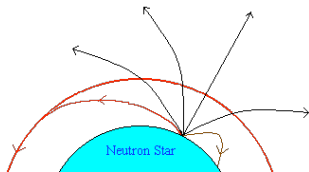
- 1) Area scaling has been achieved.
- 2)  $S$  can be made as large as desired by taking  $\epsilon_0$  small.
- 3) Can obtain faster than  $A$  scaling by taking  $\epsilon(r)$  to approach zero faster than  $1/r$ .

## Escape angle and the fate of monsters

Trapped surface? No.

Horizon? Eventually, yes.

Future of monster interior does not include future null infinity  $\mathcal{I}^+$

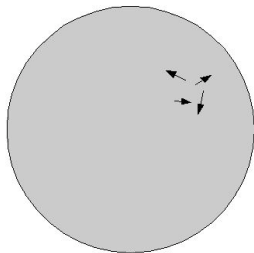


Critical escape angle  $\equiv \theta_c$ .  
 $\theta_c^2 \sim \epsilon(r) = 1 - 2M(r)/r$ .

## Escape angle and the fate of monsters

All monsters must have  $\epsilon \approx 0$  (and  $\theta_c \approx 0$ ) in large subregion.

Hence, will inevitably evolve into a black hole: mean-field analysis shows net inward energy flow.



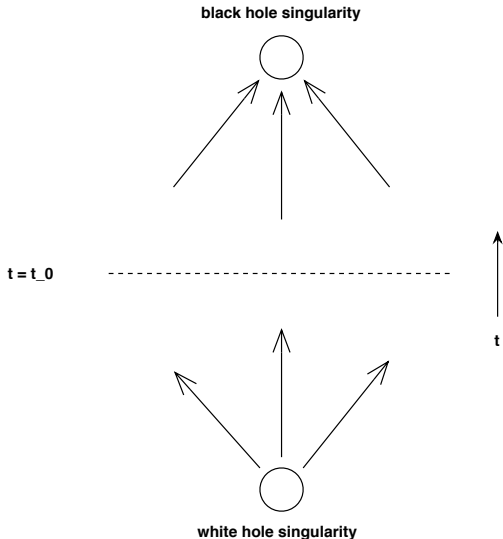
Will also evolve into a black hole if *time-reversed*.

So, cannot form from good initial data (without intervention): requires initial *white hole* singularity.

# Isolated monster

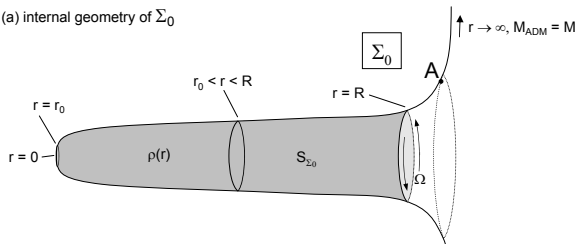
Matter ejected from white hole reaches turning point (object is gravitationally bound) and recollapses into black hole.

Note time-reversal invariance.

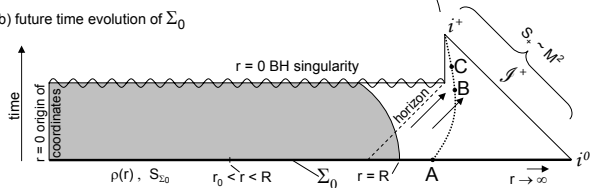


# Monster spacetime

(a) internal geometry of  $\Sigma_0$



(b) future time evolution of  $\Sigma_0$



## Build a monster

Assume arbitrarily advanced civilization, constraints only from fundamental physics. Can one construct a monster?

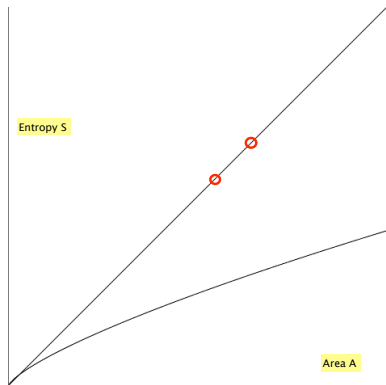
Buildability condition: require configuration to be no closer than a thermal wavelength  $\lambda \sim \rho^{-1/4}$  from its Schwarzschild radius.

$$r\epsilon(r) > r - 2M(r) > \lambda$$

implies the bound  $S < A$ .

Can't build monsters with entropy  $S > A$ .

# Entropy gap?



Perhaps this closes the entropy gap?

Most entropic pre-black hole state is **either** a slightly smaller black hole, or a monster state with entropy  $A$ .

## Build a monster: tunneling

**Initial data:** collapsing spherical shell of energy, ADM mass  $M$ , other quantum numbers  $Q = J = 0$ .

Same quantum numbers as monster.

There must be a **nonzero probability** for our initial data to evolve (tunnel) into the monster state, even those with  $S \gg A!$

Otherwise,  $\exists$  new selection rule forbidding certain transitions between states with same quantum numbers.



# How big is the Hilbert space for gravity?

Let  $\Phi$  = matter fields;  $g$  = geometry or metric.

$$(\Phi, g) \in \mathcal{H}$$

Good semiclassical evidence for

$$\dim \mathcal{H} \gg \exp A$$

# Black hole entropy and microstates

It is claimed that black hole entropy counts the number of possible microstates of the hole (Strominger and Vafa):

$$\# \text{ of microstates} \sim \exp A/4$$

This is problematic if tunneling to monster states is allowed: a monster-like configuration might lurk behind the horizon, with the possibility of many more microstates.

- Hyper-entropic states?
- Remnants?

# AdS/CFT

It is plausible that monster configurations exist in AdS.

Can they be described by the boundary CFT? **Not enough degrees of freedom!**

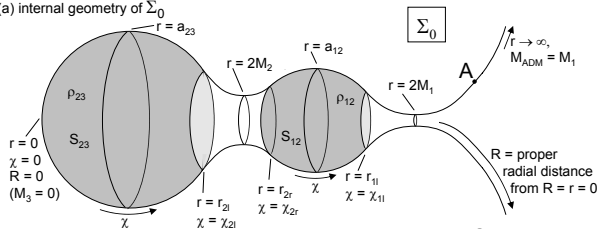
Related discussion: inflationary pocket universes in AdS/CFT

Freivogel, Hubeny, Maloney, Myers, Rangamani and Shenker, JHEP 0603:007,2006.

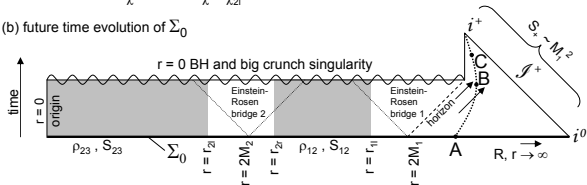
**Conclusion: new selection rule in quantum gravity!**

# Einstein-Rosen gluing

(a) internal geometry of  $\Sigma_0$



(b) future time evolution of  $\Sigma_0$



# Conclusions

Using curved space, one can (mathematically) construct objects with more entropy than a black hole of equal mass.

Implications for black hole entropy, holography, AdS/CFT.

Whether one can, in principle, **physically** construct such objects is unclear.

Are they part of the Hilbert space of quantum gravity?